

28 PM

2834



☒ First Class Mail or ☐ Express Mail Certificate _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: BROWN, David C.

Group Art Unit: 2834

Serial No. 09/758,078

Examiner: PEREZ, Guillermo

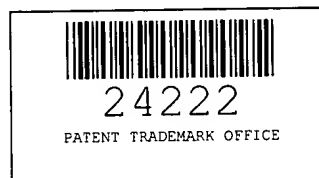
Filed: January 10, 2001

Atty. Dkt. No: QSPD91-US

For: ROTARY DEVICE WITH MATCHED EXPANSION CERAMIC BEARINGS

To: Box IDS
Assistant Commissioner of Patents
Washington DC 20231

Fm:



Dear Sir:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 1 sheets form 1449, and 6 specimens of prior art. Applicant wishes to advise Examiner an Amended European Supplementary Search Report is expected.

Please enter in the above applications and communicate in all related matters with the undersigned. All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
Attorneys/Agents for Applicant

Cus. No. 24222
Maine & Asmus
PO Box 3445
Nashua, NH 03061-3445
Tel. No. (603) 886-6100, Fax. No. (603) 886-4796
Info@maineandasmus.com

CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Assistant Commissioner of Patents, Washington, DC 20231.

Date: 03/27/2003 ☒ Debra A. Stengel
~~☐ Vernon C. Maine, Reg. No. 37,389 or ☐ Scott J. Asmus, Reg. No. 42,269~~



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: BROWN, David C.

Group Art Unit: 2834

Serial No. 09/758,078

Examiner: PEREZ, Guillermo

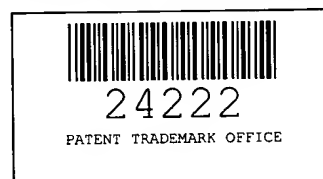
Filed: January 10, 2001

Atty. Dkt. No: OSPD91-US

For: ROTARY DEVICE WITH MATCHED EXPANSION CERAMIC BEARINGS

To: Assistant Commissioner for Patents
Box Amendment/IDS
Washington, D.C. 20231

Fm:



CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Assistant Commissioner of Patents, Washington, DC 20231.

Date: 03/27/2003

☒ Debra A. Stengel

☒ Vernon C. Maine, Reg. No. 37,389 or ☒ Scott J. Asmus, Reg. No. 42,269

CERTIFICATE OF MAILING 37 CFR 1.10: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as EXPRESS MAIL POST OFFICE TO ADDRESSEE addressed to: Assistant Commissioner of Patents, Washington, DC 20231.

Date:

☒ Debra A. Stengel

☒ Vernon C. Maine, Reg. No. 37,389 or ☒ Scott J. Asmus, Reg. No. 42,269

Dear Assistant Commissioner:

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 1 sheet(s) of form PTO-1449 and 6 specimens of prior art in accordance to the duty of disclosure under 37 C.F.R. §§1.56, 1.97, and 1.98, and requests consideration hereof.

Statement under 37 C.F.R. §1.97(e): I hereby state that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

Information Cited: The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO-1449 are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO-1449(modified), is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 (modified) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

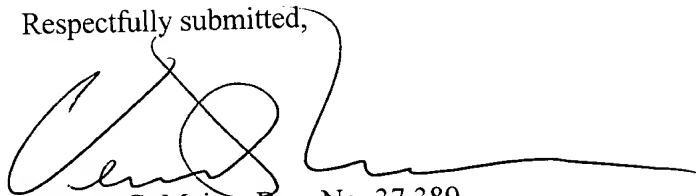
By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
Attorneys/Agents for Applicant

Cus. No. 24222
Maine & Asmus
PO Box 3445
Nashua, NH 03061-3445
Tel. No. (603) 886-6100, Fax. No. (603) 886-4796
Info@maineandasmus.com



Form PTO-1449				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/758,078
				Filing Date	January 10, 2001
				First Named Inventor	BROWN, David C.
				Group Art Unit	2834
				Examiner Name	PEREZ, Guillermo
Sheet	1	of	1	Attorney Docket Number	OSPD91-US

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code	Kind			
		4,997,296		Narita et al	03-05-1991	
		5,235,180		Montagu	08-10-1993	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office Code	Number	Kind			
			JP 04165937		NTN Corp	06-11-1992	
			JP 02304215		Brother Ind Ltd	12-18-1990	
			WO 95/12912		Stridsberg Innovation AB	05-11-1995	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	(Including Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc...), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T
		Supplementary European Search Report dated February 26, 2003 of European Application No. EP 01 90 4815.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.